PERFORMANCE ANALYSIS OF MACHINE LEARNING CLASSIFICATION TECHNIQUES TO PREDICT LUNG DISEASES

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Abstract: Lung disease is the third most disease in the world. Disease progression and response to treatment in lung diseases vary widely among patients. Accurate diagnosis is crucial in treatment selection and planning for each lung cancer patient. In detection of lung cancer, image processing algorithms have demonstrated superior performance. This study explains various classification approaches that are used to forecast lung cancer in its early stages. The classification of lung tumors as malignant or benign is performed using machine learning algorithms. Machine learning methods involve as: Support vector machine (SVM), Artificial neural network (ANN), Convolutional neural network (CNN), K-Nearest Neighbor (KNN), Multi-Layer Perceptron (MLP), Entropy degradation method (EDM) and Random Forest (RF) are extensively reviewed, and the performance of each is examined for accuracy, sensitivity, and specificity. In this analysis, SVM approach utilizing a short dataset gets the greatest results of 97% accuracy, whereas KNN gets the lowest accuracy 77.8%.

Key words: Lung cancer, ANN, benign, cancer, malignant, CNN, EDM, KNN, MLP

INTRODUCTION

Lung diseases remain the most burdensome manifestation of cancer in almost all countries. High mortality rates from lung diseases have a significant negative impact on the entire world's population. Researchers put forth CAD techniques that can be used to classify issues at an early stage in computed tomography (CT) images [1]. The majority of tests and treatments can be completed fairly quickly, although it could take days or even months, which is normal. Such a delay could lead to major issues for both patients and medical professionals, which would have a negative impact on the survival rate. Therefore, the diagnosis to treatment procedure should be completed extremely quickly in order to improve the patient's condition. The advancement of machine learning techniques has made early lung cancer prediction possible [2]. Some machine learning algorithms for predicting lung cancer are explored in this paper. Neural network is an effective tool for building an assistive Artificial Intelligence (AI) based cancer detection system which plays a major role in the classification of the cancer cells as normal or abnormal. An effective cancer treatment can be seen only when the tumor cells are identified from the normal cells. The machine learning based cancer diagnosis [3] mainly focuses on the classification of the tumor cells and training of the neural network which is very important in lung cancer research[4].This paper discusses different lung cancer classification algorithms such as CNN, SVM, ANN, MLP, KNN, ED, RF and their performances are evaluated.

RELATEDWORKS

Main contributions of some of the researchers who tried to develop a lung cancer prediction system analyzed using different classification algorithms are summarized below.

Sasikala et al. [5] proposed a convolutional neural network (CNN) based approach for classification of lung cancer tumors as benign and malignant. This system is trained by inputting the lung cancer tissue images of variant shape and size. CNN obtained high accuracy of 96% when compared with other conventional neural network systems which makes this method more efficient. In order to detect the cancer types of different size and shape, CNN will use large datasets for training in the forthcoming years. This paper concludes by suggesting a 3DCNN method that can be used for improving the performance of the system and also by improving the hidden neurons with deep network.

A computer aided lung classification method developed using artificial neural network was presented by Jinsa et al. [6]. The parameters are calculated after the entire lung is segmented from the CT images. The statistical parameters explained in this paper are used as features for classification. Different neural networks are used for the classification process. Thirteen training functions are employed for evaluating the performance of this system. The training function gives the highest accuracy rate.

Fang et al. [7] has proposed a lung cancer prediction system based on a deep learning technique called Google Net which shows better performance such as convergence rate, accuracy, sensitivity and specificity. Google Net is fine-tuned for the classification of lung cancer cells. This method used less training time and gave better results. Median intensity projection (MIPs) is also discussed in this paper which helps to learn features of cancerous and non-cancerous lung nodules that are compatible with the fine-tuned Google Net. This will increase the accuracy of the system when tested on the validation sets. After 300 epochs, accuracy of81%, sensitivity of 84% and specificity of 78% are produced by the trained system which is better than other available programs.

Moitra et al. [8] aims to develop a 1D CNN model for the classification of non-small cell lung cancer (NSCLC). This model performs better than the conventional CNN methods. This method consumes less time and it detects the NSCLC tumors very accurately. It will thus help the researchers to provide new methods of automated cancer treatments.

A 3D multi-path visual geometry group (VGG) evaluated on 3D cubes is proposed by Tekade et al. [9]. The features are extracted from different sources which are available for free access. The proposed approach contains mainly 2 architectures. U-Net architecture is adapted for segmentation of lung nodules from lung CT scan images and 3D multipath VGG like architecture is proposed for classifying lung nodules and the prediction of their malignancy level. This is useful to predict whether the patient will have the cancer in next two years or not. Combining the two approaches gives a better result for predicting lung nodule detection and also further predicting malignancy level. An accuracy of 95.66% and dice coefficient of 90% is obtained using this approach.

METHODOLOGY

Lung Disease detection system (Fig. 1) [10] based on chest CT images using machine learning techniques such as CNN, SVM, ANN, MLP, KNN, EDM and RF are conferred as follows.

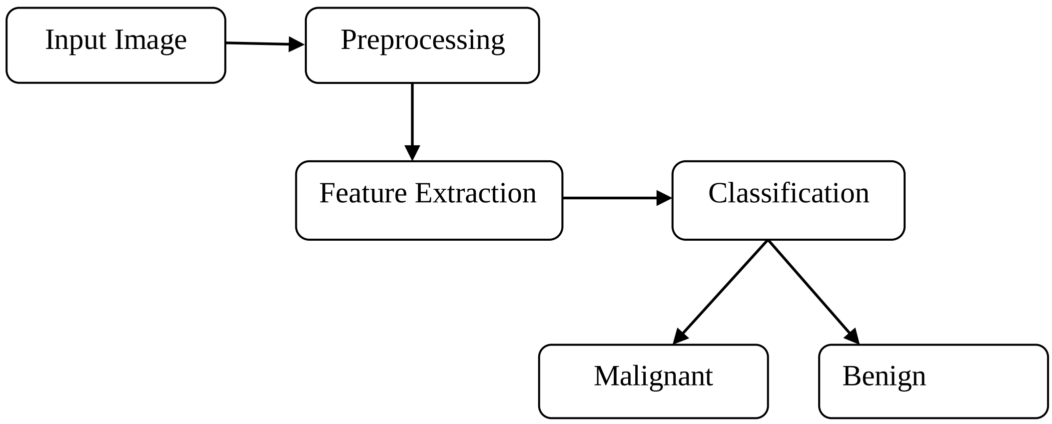
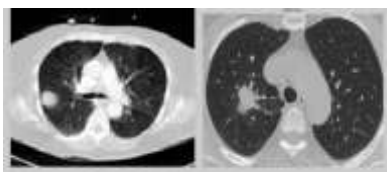
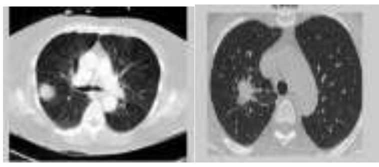


Fig.1.Block diagram of lung disease detection system

In the first stage, lung CT images are preprocessed by applying median filter which minimizes the degradation during acquisition. Then, from the CT image scans, lung regions are extracted. Segmentation of each slice is done to identify tumors. Segmented tumors are then fed as input to the classifier which decides whether the tumor present in a patient's lung is cancerous or non-cancerous [11].Non-cancerous and cancerous lung images are depicted in Fig. 2.Medianf iltered



images are depicted in Fig.3.



(a) (b)

Fig.2. (a) Non-cancerous (b) Cancerous images

Fig.3. Median filtered images

A. Convolutional Neural Network(CNN)

A class of deep neural network called convolutional neural network (CNN) / ConvNets can be used in many applications such as image processing, face recognition, object detection etc. This type of neural network is mainly used to identify the cancerous or non-cancerous lung tumors. Among the pattern recognition and computer vision research area, convolutional neural networks (CNNs) models become popular because of their promising outcome on generating high-level image representations.

A CNN is type of neural network [12] composed of several kinds of layers such as convolutional layer, pooling layer and fully connected layers. In order to extract the features from an input image, convolutional layer creates a feature map .The pooling layer keeps the main information only and the other information are cut down. A fully connected input layer flattens the output from the pooling layer. A Soft Max activation function is used by the final layers [5] after passing through the fully connected layer. The final outcome is obtained from the fully connected output layer which helps in the classification of image [5].

Architecture of CNN proposed by Sasikala et al.[5] is shown in the Fig.4,an image of size b x b x r, where r is the number of the channels given as the input to a convolutional layer. There are k filter kernels of size a x a x q where a < b, q ≤ r and may vary for each kernel in convolutional layer. In order to produce k feature maps, they are convolved with the input image. Mean or max pooling is used for the sub sampling of each map.

B. Support Vector Machine(SVM)

Vapnik [13] introduced SVM and received considerable recognition due to its high accuracy. An optimal separating hyper plane (OSH) is the basis of this method that separates the training data. The training data is labeled with the output lass called maximum margin classifiers by a supervised learning approach, such that empirical risk can be simultaneously minimized.

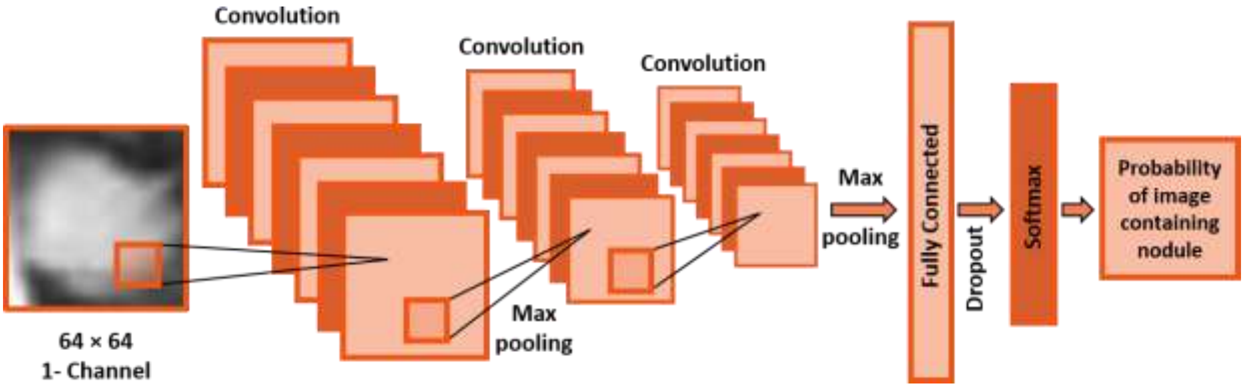


Fig.4.Architectureof CNN

The optimal hyperplane is determined by:

$$\{h\in \mathbb{H} | \langle w, h\rangle_{\mathbb{H}} + w_0 = 0\} \qquad (1)$$

And $\Phi(s_i)$ denotes the mapped data. Where, the inner product in space $\mathbb{H}$ is indicated as <w, h>, *w* denotes the quadratic programming problem given as follows:

$$\min_{w,w_0,\xi_{i,\ldots,}\xi_N}\left(\frac{1}{2}\|w\|^2 + C\sum_{i=1}^{N}\xi_i\right) \quad (2)$$

Subject to

$$y_i(\langle w,\Phi(s_i)\rangle_{\mathbb{H}}+w_0)-1+\xi_i \geq 0 \quad i=1,\ldots,N \quad (3)$$
$$\xi_i \geq 0 \quad i=1,\ldots,N$$

Where, the number of training samples is denoted as N, ξ_i are slack variables, and C denotes a positive constant. The problem as givenin (2) is solved by:

$$\max_{\alpha l_{i,\ldots,}\alpha l_N}\left(\sum_{i=1}^{N}\alpha l_i - \frac{1}{2}\sum_{i,j=1}^{N}\alpha l_i\, \alpha l_j y_i y_j K(s_i, s_j)\right)$$

Subject to

$$0 \leq \alpha l_i \leq C \quad i= 1\ldots,N \quad (5)$$
$$\sum_{i=1}^{N}\alpha l_i y_i = 0 \quad (6)$$

Where, the kernel function is denoted as K (si, sj) = ⟨Φ(si), Φ(sj)⟩, and αl_i are Lagrange multipliers. Support vector lies near to the OSH in the higher feature space [14]. The SVM learning approach [15] is shown in Fig. 5. In this approach, the support vectors help to maximize the margin of the classifier. Therefore, over-fitting between the classes can be reduced. An SVM classifier with Gaussian kernel is given as follows:

$$K(x_i,x) = e^{\|x_i-x\|^2/2\sigma^2} \quad (7)$$

Where, x_i is the data used for training, x is the support vectorand σ is the kernel width, and hyper-parameter of SVM. By applying SVM as in (7) with its specification to data obtained from the feature extraction process, the kernel checks whether the input data is mapped to a feature space of higher dimension. Benign and malignant cells are the two classes of separation. The main strengths of SVM are explained in [16].

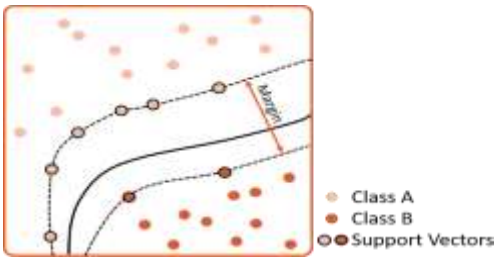


Fig.5.SVM learning approach

C. *Artificial Neural Network(ANN)*

In the field of medical image classification, Artificial Neural Network (ANN) [17] is used for classification, pattern recognition, decision-making, dimension reduction etc. which makes it one of the major approaches. Applications where data is not clear, data classification and pattern recognition, ANN can be used [18]. Fig. 6 depicts the ANN architecture which is mainly used in the field of cytology. The ANN works better in the range 0 to 1, therefore input data is taken in this range. A feed forward neural network [19] is used to determine the unknown function $y= f(x)$ for a given data set $\{x_i, y_i\} = 1N$.The network uses a back-propagation training function and a row vectors of M hidden layer sizes and a feed forward neural network is returned. The equation following determines the relationship connecting the input, output and hidden neurons given by (x_i, i = 1, 2, …..,$n1$), (Y_k, k = 1, 2, ….,N) and (h_j, j = 1, 2, …, $m1$) respectively.

$$Y_k = [\Sigma w_{m1j=1}(\Sigma w_{jin1i=1}x_i+\theta_{in1})+\theta_{hid}] \quad (8)$$

Where, $g(z) = 1/ (1 + e^{-z})$. wk_j is the weight from j^{th} hidden neuron to the k^{th} output neuron, w_{ji} is the weight from the i^{th} input neuron to the j^{th} hidden neuron, a bias neuron in the input layer and hidden layer is denoted as θ_{in1} and θ_{hid} respectively. Furthermore, an activation function is used for processing of each neurons in the ANN which is given as follows.

$$O_{pj} = \frac{1}{1+\exp(-\sum_{i} w_{ji} O_{pi} + \theta_j)} \quad (9)$$

Where O_{pj} is the output pattern and O_{pi} is the input pattern. The back-propagation function is used to minimize the weights between pairs of neurons. The adjusted weights are calculated initially as follows:

$$\Delta w_{ji}(k_1+1) = nl\delta_{pj}O_{pi} + \alpha\Delta w_{ji}(k_1) \quad (10)$$

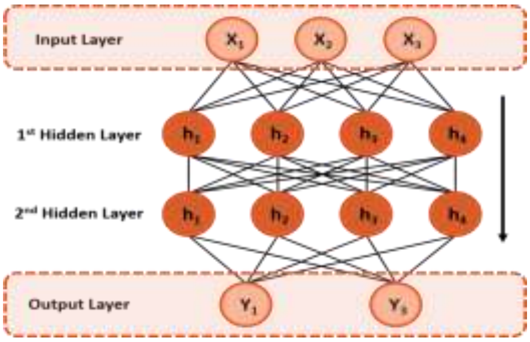


Fig.6. ANN Architecture

Where, the learning rate is denoted as *nl* which is equal to 0.3, is the momentum term equal to 0.9, $k1$ indicates the number of iterations, and δpj is the error between the desired and actual ANN output values. In ANN, the final weights can be calculated based on some Conditions such as δpj should become smaller than a threshold value or $k1$ has reached another threshold value. Much care is taken when deciding the number of hidden layers. The number of epochs selected varies from 5 to 10 which will decide how much the number of hidden nodes is changed. Finally, for classification of CT images into normal and abnormal, the best trained ANN network [20] is used.

D. Multi-Layer Perceptron (MLP)

The architecture of the MLP classifier is shown in Fig.7 which consists of three layers namely: input, hidden and output layers. There are several neurons present in each layer. Direct learning process is used by the MLP classifier for generating different classes and the optimal weights are calculated by back propagation training process. The MLP model is trained by the following parameters such as number of hidden layers, alpha, learning rate and solver which is used for optimizing weight [21]. Back propagation neural network is used for enhancing the performance of the MLP.

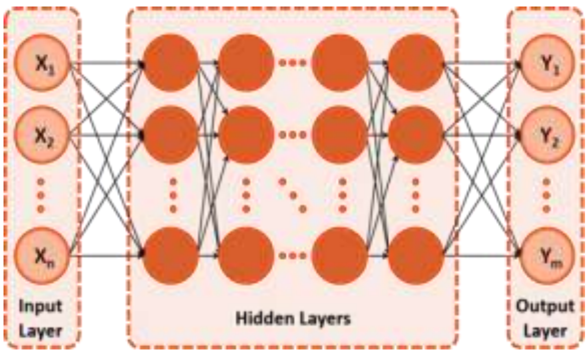


Fig.7. MLP architecture

E. K-Nearest Neighbor(KNN)

Datasets are classified based on their similarity with neighbors using the KNN algorithm. In this classification method, K denotes the quantity of data set. The test sample label determines the similarity among the K-nearest neighbors. In this algorithm, the distances between a test sample and database samples are found by using the Euclidean Distances(ED).

F. Entropy degradation method(EDM)

Entropy degradation method (EDM) proposed by Qing Wu et al. [22] is used to diagnose small cell lung cancer (SCLC) from CT images [23]. Data images used for training and testing of good quality are collected from the National Cancer Institute. A number of patient CT scans of high resolution are obtained from open source databases. Pathology diagnosis will provide them with ground truth labels. In this method, from the database, 12 lung CT scans are selected which consists of an equal number of healthy lungs and SCLC patient's lungs.

In order to train the model, 5 random scans from each group are selected and the remaining two scans are used for testing. Each CT images contain 100 to 500 axial slices of the chest cavity based on scan parameters. Then, labeling as cluster 1and cluster 0 will helps to identify the SCLC images and other, respectively. This is done because for SCLC patients, not all CT scans reveal cancer cells. Two additional CT images are used for testing; one for SCLC patient and one without are selected.

Non-cancerous and cancerous images are identified using the SCLC detection [24] of group 0 and group 1 respectively. From the training sets, many features are extracted [22]. The vectorized histogram from cancerous and non- cancerous lungs is fed into the neural network during the training process. Each training set is transformed by ED Min to a score [25] which are then converted into probability with the help of a logistic function. For testing, an input without any marking is used for testing which is fed into the neural network. In this case the output is calculated by using the probabilities associated with those scores. The final output will help to find the group to which the testing data belongs to, whether a cancerous or non-cancerous patient [20].

The estimated maximum entropy signals $Y = cd\, f\,(y)$ is used. Its value is calculated by function h, as given below,

$$(x,y)=\sum_{j=1}\sqrt{(Xi - Yi)^2} \qquad (11)$$

$$h = (d(det(W))+^1\sum(log(e+1-Y^2)) \qquad (12)$$

Where, W denotes identity 5x5 matrixes.

$$W=W+ eta \times (g) \qquad (13)$$

Where, eta is used to control convergence speed and the gradient matrix is defined as g.

G. Random Forest(RF)

Random forests are known for their high performance and generalizability. In order to perform the classification, RF model can be used where the dependent variable is categorical. Based on the rules, the data is divided by the tree. The dataset can be split into many regions by using these rules. Variable's influence to the homogeneity or cleanliness of the subsequent child nodes (X2, X3) can be used to compute these rules. The variable X1 becomes a root node because it leads to maximum homogeneity in child nodes. RF model have some other features which helps in the classification process such as Gini Index and Entropy.

RESULTS

A. Dataset

In the CNN approach [16] proposed by Sasikala et al. [5], a dataset consisting of 1000 CT scans are collected. These CT scans are having different nodule sizes. They are, nodule greater than or equal to 3mm, less than 3mm, and non-nodule greater than or equal to 3 mm. Among them, training sets consist of 70 images and testing set consists of 30images. Lung cancer classification using SVM was proposed by Fenwa et al. [17] acquired a total of 80 images which consists of both Chronic Obstructive Pulmonary Disease (COPD) and Idiopathic Pulmonary Fibrosis (IPF). Training and testing are done using 48 and 32 images respectively. ANN machine learning algorithm proposed by Naresh et al. uses 111CT images for stage1 and 73 samples for stage2 type of lung cancer. Nodules are described by using the structural and textural features. Among the dataset obtained, 70% are used for training and 30% are used for testing. MLP and KNN algorithms proposed by Sujata et al. [21] uses python programming language for the implementation and the performances are evaluated on DICOM CT images of 1018 cases collected from LIDC-IDRI. In addition to the lung parts, some other parts such as aorta, vena cava, trachea, esophagus are also present in the CT scan images. Morphological opening and local thresholding method are used for extracting Region of Interest (ROI).The features are extracted from the segmented gray scale lung volume. The training set consists of 4877 normal, 36 benign and 53 malignant cases. The testing set consists of 1221 normal, 7 benignand14 malignant cases. To evaluate the performance of the EDM algorithm, 100 CT scans are used which contains multiple axial slices (100 to500 slices) of chest cavity depending scan parameters.

Training set is obtained by randomly selecting 10 of them, where 5 of them will be healthy patients and other 5 SCLC patients. Training input is the extracted vectorized histogram. The remaining samples are taken as testing set. Total of 36 tests are done from these combinations. RF method proposed by Jaya raj et al. [8] also uses a dataset consisting of 1018 images with 512*512 pixel dimensions.

B. Performance evaluation

These above mentioned classifiers can be compared with the help of confusion matrix [11]. True Positive (TP), False Negative (FN), False Positive (FP), and True Negative (TN) [22] are used in representing the confusion matrix (Table I). Sensitivity, specificity and accuracy can be calculated using TP, FN, FP and TN. The correctly classified lung cancer images are given as true positive and the wrongly predicted as non-cancerous images are given as false positive. In CNN method [5], an input sample image is fed to the trained model which is followed by preprocessing, feature extraction and finally identifying the cancer spot. Implementation of this neural network is performed in MATLAB. Some parameters such as weight, learning rate, gradient moment and hidden neurons are also used for training. In order to improve the accuracy of this method, two convolution and subsampling layers are also used. This method shows an accuracy of 96%, sensitivity of 87.5% and specificity of 100%. SVM method [17] uses a total training time of 146.86s and total recognition time of 0.284s.This method is able to obtain an accuracy of 96%, sensitivity of 90% and specificity of 90%.While considering ANN approach [18], an accuracy of 92.68%, sensitivity of 55.26% and specificity of 100% can be seen. In the MLP and KNN methods [21], accuracy, sensitivity and specificity are almost same which is given in Table II. EDM algorithm [22] makes 10 FP predictions and it also misses 6 cases when the patients actually diagnosed with SCLC. It shows an accuracy of 77.8%, sensitivity of 83.33% and specificity of 72.22%. By using RF method, accuracy of 89.9%, sensitivity of 90.85% and specificity of 88.32% is obtained. Evaluation metrics showing the performances of all these methods are presented in Table II. Fig.8 shows the performance criteria of all methods. Accuracy of CNN, SVM, ANN, MLP, RF and EDM are high when compared with KNN. Sensitivity of CNN, SVM and RF is high. ANN, MLP and EDM are showing low sensitivity. CNN, ANN, MLP and EDM are having 100% specificity.

From these studies, SVM is proved to be a good classifier showing better performance by using a smaller dataset compared to other methods. SVM, MLP, RF and KNN are better compared to ANN and EDM [23]. EDM algorithm needs improvement because of many false positive predictions [24].This can be rectified by using large datasets.

TABLE I: CONFUSION METRIX

Parameters	SVM	CNN	ANN	MLP	EDM	KNN	RF
TP	8	15	17	1196	1200	30	600
TN	21	19	17	21	21	26	400
FP	0	6	0	5	1	10	8
FN	1	10	3	20	20	6	10

TABLE II EVALUATION METRICS

Author	Algorithms	Sensitivity	Specificity	Accuracy
Fenwa et al.[16]	SVM	86.5%	100%	97%
Sasikala etal.[5]	CNN	90%	90%	96%
Naresh et al.[28]	ANN	55.26%	100%	92.68%
Sujat aet al.[21]	MLP	51.2%	100%	98.31%
Qing etal.[22]	EDM	51.3%	100%	98.30%
Sujata et al.[21]	KNN	83.33%	72.22%	77.8%
Jayaraj et al.[8]	RF	90.85%	88.32%	89.9%

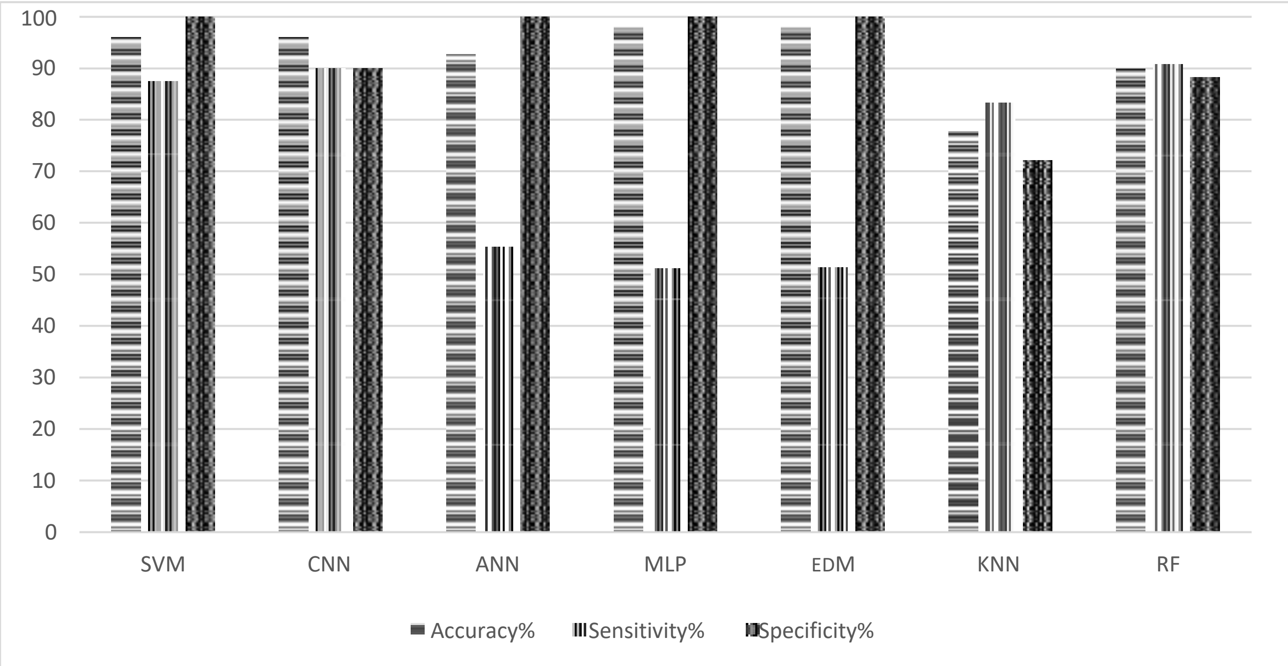


Fig.8. Bar chart for Performance Evaluation

CONCLUSION

In this paper, different machine learning techniques used in the classification of lung tumor such as CNN, SVM, ANN, MLP, KNN, EDM and RF are explained and their performances are evaluated in terms of accuracy, sensitivity and specificity. SVM classifier is able to classify the benign and malignant cells with high accuracy of 96% with a test data of 30 images. Sensitivity and specificity of the SVM based system are also compared to other techniques. SVM classifier also shows better accuracy of 97% with test data of 32 images, hence used for differentiating the pulmonary lung nodules into malignant and benign to assist the radiologist and for the future enhancement. ANN, MLP, EDM and RF are able to achieve high accuracy of 92.68%, 98.31%, 98.30% and 89.90% respectively with large datasets. The KNN method shows the least accuracy of 77.8%. KNN requires a large space for improvement. In order to enhance the performance, KNN method is combined with SVM, where large datasets and deeper network are used for training. Thus in CT lung imaging, this method can be used for various applications.

Acknowledgement

The author acknowledges that the receipt of funding seed money from the management of Nallamuthu Gounder Mahalingam College, Pollachi for this research work.

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